

RETIREMENT PLAN INFORMATION

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| Our Business | For more than 150 years, Northwestern Mutual ¹ has helped its policyowners and clients achieve financial security. Through its subsidiaries, Northwestern Mutual Investment Services, LLC (NMIS) and Northwestern Mutual Wealth Management Company (NMWMC), a variety of company sponsored retirement plans are offered including 401(k), profit sharing, defined benefit, SEP/SIMPLE IRA, 403(b) and 457 plans. | |
| Purpose | Northwestern Mutual is committed to developing an enduring relationship with those it serves, along with innovative solutions for your company sponsored retirement plan. Working with a representative will provide you with expert guidance, access to top quality platform providers and the confidence that your employees are well served. | |
| Size | <ul style="list-style-type: none"> • 16,000 employer sponsored plans and 90,000 SEP and SIMPLE IRA accounts • \$23.4 billion in assets • \$4.3 billion in annual sales • 337 offices with 5,700 producing representatives across the U.S. | |
| Industry Leader | <p>Northwestern Mutual² has been ranked among the Top 10 independent broker-dealers, as measured by total revenues, by leading investment industry publications.</p> <ul style="list-style-type: none"> • <i>Financial Planning</i> magazine, June 2014 • <i>Financial Advisor</i> magazine, April 2014 • <i>Investment Advisor</i> magazine, June 2014 | |
| Services | <p>Participant Communication</p> <ul style="list-style-type: none"> • Enrollment Meetings • Investment Education • Retirement Preparation • Rollover Services <p>Plan Management</p> <ul style="list-style-type: none"> • Plan Design Assistance • Provider/TPA Selection & Review • Plan Implementation & Conversion • Plan Benchmarking Information <p>Compensation Flexibility</p> <ul style="list-style-type: none"> • Fees or Commissions | <p>Investment Support</p> <ul style="list-style-type: none"> • Investment Research • Investment Performance Monitoring • Plan Investment Changes • Investment Performance Data <p>Compliance Assistance</p> <ul style="list-style-type: none"> • Fiduciary Education • Sample Investment Policy Statement • ERISA 404(c) Education • Plan Due Diligence Documentation <p>Third Party Investment Fiduciary Support</p> <ul style="list-style-type: none"> • 3(21) or 3(38) |
| Other Business Needs & Services | <p>Executive Benefits Supplemental Executive Retirement Plans Nonqualified Retirement Plans</p> | <p>Succession Options Health, Life, and Disability Income Insurance</p> |
| Partnerships³ |  | |

For more information on Northwestern Mutual or to find a financial representative near you, visit www.northwesternmutual.com.
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¹ Northwestern Mutual is the marketing name for The Northwestern Mutual Life Insurance Company, Milwaukee, WI (founded 1857) and its subsidiaries, including Northwestern Mutual Investment Services, LLC (founded 1968) and Northwestern Mutual Wealth Management Company (founded 2001). NMIS is an introducing broker-dealer and an Investment Adviser registered with the Securities and Exchange Commission, and a member of the Financial Industry Regulatory Authority and the Securities Investor Protection Corporation. NMWMC is a limited purpose federal savings bank. It is not a broker-dealer or insurance company and does not offer insurance products or brokerage products. Investment products and trust services are not insured by the FDIC, are not deposits or other obligations of, or guaranteed by, Northwestern Mutual Investment Services or Northwestern Mutual Wealth Management Company or their affiliates, and are subject to investment risks, including possible loss of the principal amount invested.

² NMIS is the registered broker-dealer. Rankings reported are based on the combined revenues of NMIS and NMWMC. NMWMC is not a registered broker-dealer.

³ NMIS has agreements with over 100 insurance and mutual fund companies ("providers") that offer retirement plan investment products. These providers typically offer group annuities or mutual funds as funding vehicles for retirement plans. In its capacity as broker-dealer, NMIS and its representatives do not serve as fiduciaries to retirement plans or provide investment, tax or legal advice.